

SARS

Briefing Session (Non Compulsory)

RFP 31-2020

Upgrade of the SSA-Name3 solution and the procurement of maintenance and support

31 Mar 2021 (09h00 via Zoom)

Agenda

<u>Start</u>	<u>End</u>	<u>Topic</u>
09:00 - 09:15		Welcome & Introductions
09:15 - 10:00		Governance, Rules and Procedures RFI Overview RFI Model RFI Pack Content Respondent's Proposal Format
10:00 - 10:05		----Break (5 minutes)
10:05 - 11:00		BBBEE Wrap-up Informal Discussion (till 11h30 max)

RFP 31-2020

Briefing Session

Welcome & Introduction

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Commodity Leader: ICT Procurement

Governance, Rules and Procedures

SARS Procurement

Purpose

Briefing Session

- **Purpose**
 - Explain selected concepts, procedures and other aspects of the RFP
 - Confirm formal registration of Respondents for notices and other communications
- **It may contain**
 - additional information
 - additional rules that must be adhered to
- **It does not**
 - cover every item in the RFP
 - **replace any of the issued RFP material**
 - **relax any of the RFP rules unless communicated separately in writing**
- **The briefing session slides will be uploaded onto the SARS website.**
- **The RFP pack remains the primary source of information for the Respondent to respond.**

Procedures during session

- **No questions will be answered during the session** – respondents are referred to the Q&A process is noted in the main RFP document
- **SARS will review and focus on most pertinent themes during the session.**

- **Strict communication channels**
 - Respondents will be disqualified for non-compliance
- **No solicitation of information will be allowed other than by prescribed channels**
- **Deadlines to be strictly met**
- **Only prescribed submission format will be accepted**

Rules of engagement

- **Other than the contact for the RFP below:**
 - Respondents may not have contact with SARS staff.
 - Respondents who are existing service provider(s) to SARS may only engage SARS staff regarding operational matters.
- **All contact relating to RFP matters are only to be addressed to SARS Procurement at tenderoffice@sars.gov.za.**

RFP Overview

**Upgrade of the SSA-Name3 solution
and
The procurement of maintenance and support**

Background

- **The Integrated Business Register (IBR) system uses a fuzzy matching algorithm from the SSA-Name3 tool. The tool is able to integrate vast amounts of taxpayer information from both internal resources and third party data sources.**
- **The IBR project started in December 2000 as a shared initiative between SARS, Statistics South Africa (StatsSA), the Department of Trade and Industry (DTI) and the Department of Labour (DOL). The initial project objective was to create an integrated database of enterprises :**
- **During 2005, the original IBR system was extensively enhanced and specific data sources were added to provide an improved integrated tool for SARS.**
- **The system has since evolved to provide SARS users with the capability to view comprehensive taxpayer information. The IBR system links internal taxpayer information from all internal platforms (ITS, VAT, PAYE, Customs) and third party data sources.**
- **The IBR system is an internally developed web application that is built on Visual Studio .Net Framework 5.0 and Java. The data that it consumes and manipulates is stored in an SQL database. The system is deployed on a server that is running a windows operating system. The system currently uses SSA-Name3 (32 bit) in both batch and online interactive mode to provide data matching. Frontend tools that are used to display matched data include Microsoft Business Intelligence suite, Google Map, Python, Afrigis Maps, and QGIS.**

Objectives of this RFP

SARS's requirements include the ability to

- Refer to *SARS RFP 31-2020 3.1 Business Requirements Specification*

RFP Model

RFP model

- **Open Tender**
- **The RFP has mandatory requirements**
 - Respondent must meet these before response will be considered for evaluation.

Respondent is strongly advised to make sure of full compliance before making decision to respond.

Bid preparation phase – Q&A

- Any questions / queries regarding the RFP process or content must be submitted in writing (email) to the email address below.
- All questions / queries must be submitted no later than the date indicated in the main document (Table 4).
- Any oral communication will be considered unofficial and non-binding to SARS.
- The queries must be :
 - to tenderoffice@sars.gov.za
 - Email format:

Subject : “RFP 31-2020 – Question”

Body : “Supplier : <supplier name>”

: “Question : <Question text>”

Bid preparation phase – Q&A

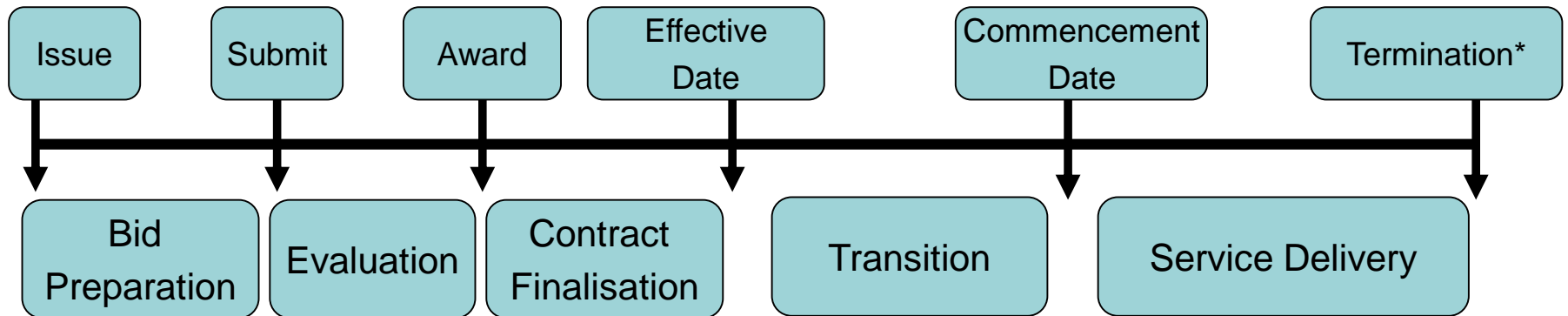
- **The answers will be provided**
 - without the details of who posed the question
 - published on the SARS website
- **SARS will endeavour:**
 - to provide a response to questions as soon as possible after receipt
 - to finalise all responses on or before the date indicated in the main document (Table 4).

Bid preparation phase

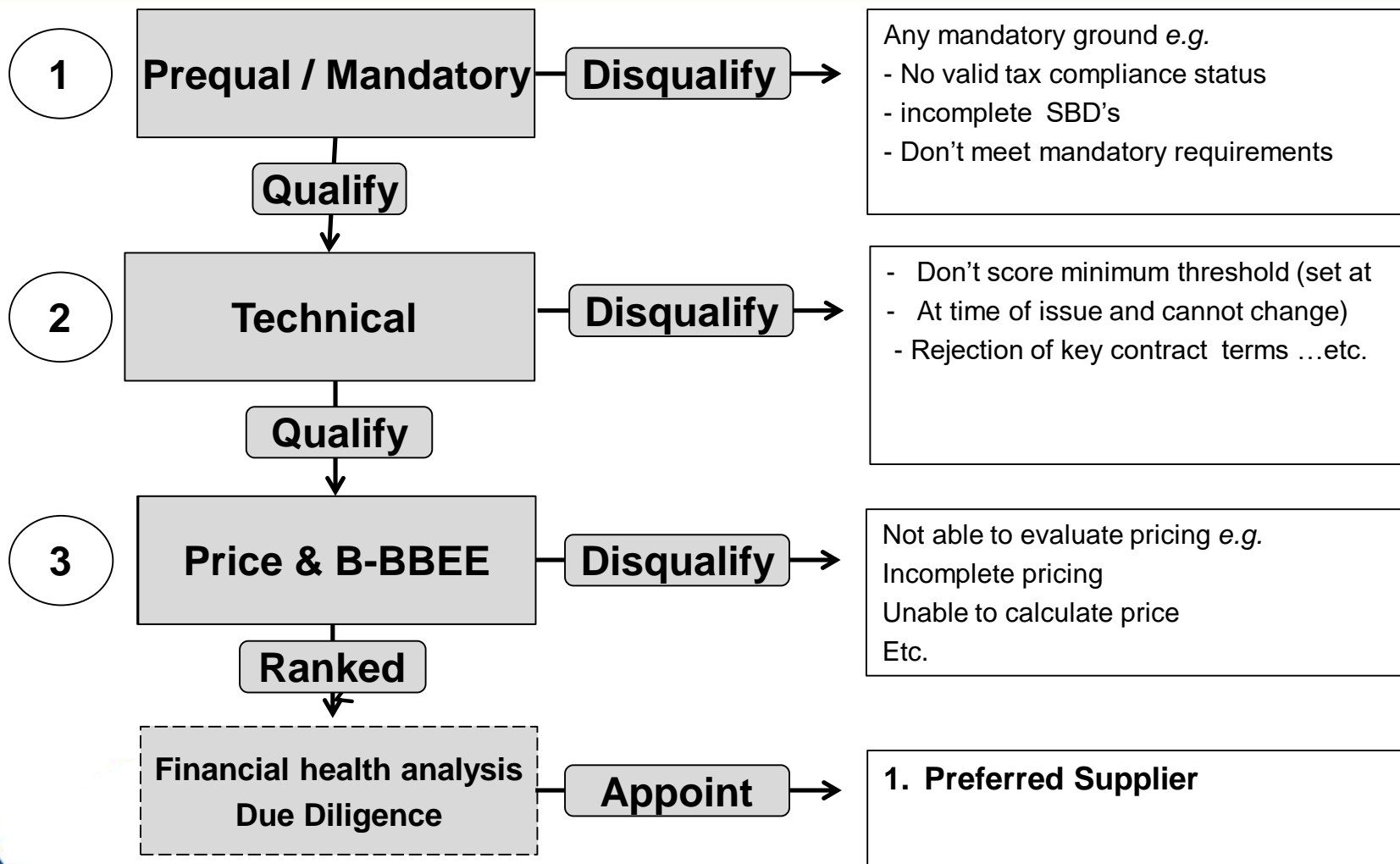
- **SARS may:**
 - call one or more briefing sessions
 - Compulsory or non-compulsory
 - issue communications
 - answering questions from Respondents
 - clarifying issues arising from questions
 - amending the RFP, including changing dates and times in the RFP process.

Key events and phases

RFP Process



Evaluation process



Prequalification - Discretionary

- **SARS, at its sole discretion, may disqualify a Respondent from evaluation for:**
 - Non-adherence to RFP rules
 - Non-adherence to rules of engagement
 - Non-compliance to ethics

Evaluation: Price and B-BBEE

Criteria	Weighting (ex 100)
B-BBEE	20
Price	80

- 80/20 evaluation in terms of PPPFA¹ on contract value.
- B-BBEE evaluation performed on the basis of the submitted B-BBEE certificate.²
- Statutory formula² applied to the Bidder's submitted pricing.

¹ Preferential Procurement Policy Framework Act 5 of 2000

² National Treasury Regulations 2011 to the PPPFA

RFP Pack content

RFP Pack Contents

- **RFP pack is organised into 5 Sections**

Section 1	Summary, guidelines, instructions and Conditions.
Section 2	Standard Bid Documents
Section 3	Business requirements specification
Section 4	The conditions, rights and obligations under which SARS wishes to procure the Services.
Section 5	Documents, templates, questionnaires and checklists required for the submission of the Bidder's Proposal

RFP Pack – Section 1

Section 1 of the RFP pack contains the documents necessary to gain an overview of the RFP and for attendance to the Briefing Session

1. [RFP 31-2020 1-1 Summary, Guidelines, Instructions and Conditions.](#) **This must be read in detail by all Bidders.**
2. The Oath of Secrecy / Solemn Declaration must be completed and signed by every member of the Bidder's team (including subcontractors) and submitted with the response.

RFP Pack – Section 2

Section 2 contains the Prequalification Documents

**ALL THESE DOCUMENTS MUST BE COMPLETED SIGNED
AND SUBMITTED AS PER THE INSTRUCTIONS IN THE
FORMS**

RFP Pack – Section 3

Section 3 has one document which details the business and technical requirements of the RFP

1. **SARS RFP 31-2020 3-1 Business Requirement Specification. This document details the requirements of the business. It must be read in detail to understand SARS's requirements for all aspects of the service:**

RFP Pack – Section 4

Section 4 has two documents containing the proposed contract and SLA :

1. **SARS RFP 31-2020 4-1 Draft SSAName 3 Agreement.**
- **The proposed contract contains the details of the terms and conditions under which SARS wishes to enter into agreement with the successful Bidder.**

RFP Pack – Section 5

Section 5 contains the templates required for the completion of a Bidder's Proposal

1. **SARS RFP 31-2020 5-1 Mandatory Response Template.** This template must be completed in order for the Bidder to be evaluated in terms of the mandatory requirements for pre-qualification.
2. **SARS RFP 31-2020 5-2 Technical Response Template.** This template must be completed in order for the Bidder to be evaluated in terms of the technical evaluation criteria.
3. **SARS RFP 31-2020 5-3 Pricing Response Template.** The Pricing template (all tabs) to be completed by the Bidder for usage in the Pricing/B-BBEE stage of the evaluation
4. **SARS RFP 31-2020 5-4 Proposal Response Checklist.**

Bidder's Proposal Format

Bidder's Proposal

- **This is covered in detail in the document:**
- [SARS RFP 31-2020 1-1 Summary, Guidelines, Instructions and Conditions](#)
- **Each Proposal must be separately packaged and must contain:**
 - Two hardcopies (1 original and 1 copy of the original)
 - A4, ring binders
 - One electronic copy
 - USB
- **The original hardcopy must be signed**

Pricing Template

Bidder's Proposal – Pricing

- **Pricing Template**

- The Pricing Template must be completed.
- The template must be fully populated. SARS may not be able to evaluate a partially completed template and will be forced to reject the proposal if this is the case.
- The pricing template must be signed by the Bidder's authorised signatory.
- It is critical that the methodology behind the Pricing is fully understood by the Bidder.
- Submit questions through the Q&A process. .

Pricing response template - rules

- **All input (unprotected) cells must be completed**
 - No other cells to be populated.
 - All cells are to be completed - if cells are left blank SARS may not be able to evaluate the financial response.
 - Do not attempt to change formulas, formats or to unprotect the templates. If there is a problem – phrase it in a question to SARS.
- **The amounts entered in the Pricing Templates must:**
 - Include VAT
 - Be firm and not indicative (180 days)

B-BBEE

B-BBEE = 20 Points

B-BBEE points may be allocated to Bidders on submission of documentation or evidence as follows:

ADJUDICATION CRITERIA	POINTS
A duly completed Preference Point Claim Form: SBD 6.1 and a B-BBEE Certificate/Affidavit.	20

Bidders **MUST** complete and sign the SBD 6.1 form to claim the Bidder's B-BBEE preference points, failing which, the Bidder will be scored zero.

B-BBEE Certificate/Affidavit

The table below indicates the specific B-BBEE certification documents that must be submitted for this tender.

Classification	Turnover	Submission Requirement
Exempted Micro Enterprise (EME)	Below R10 million p.a	A sworn Affidavit or Certificate from CIPC
Qualifying Small Enterprise (QSE)	Between R10 million and R50 million p.a.	Certified copy of B-BBEE Rating Certificate from a SANAS Accredited rating agency. A sworn Affidavit – only 51% BO and above
Large Enterprise (LE)	Above R50 million p.a.	Certified copy of B-BBEE Rating Certificate from a SANAS Accredited rating agency.

Incorporated JVs must submit the B-BBEE status of the entity. Unincorporated JVs must submit a consolidated B-BBEE certificate as if they were a group structure for every separate Bid.

Use and acceptance of Affidavits

Section 1.6 SBD 6.1 states.. The purchaser reserves the right to require of a bidder, either before a bid is adjudicated or at any time subsequently, to substantiate any claim in regard to preferences, in any manner required by the purchaser.

SARS reserves the right to request that bidders submit proof of their Black ownership and turnover information in support of their Affidavits.

B-BBEE Key Sections to complete in SBD

BID DECLARATION

5.1 Bidders who claim points in respect of B-BBEE Status Level of Contribution must complete the following:

6. B-BBEE STATUS LEVEL OF CONTRIBUTION CLAIMED IN TERMS OF PARAGRAPHS 1.4 AND 4.1

6.1 B-BBEE Status Level of Contribution: =(maximum of 10 or 20 points)

(Points claimed in respect of paragraph 7.1 must be in accordance with the table reflected in paragraph 4.1 and must be substantiated by relevant proof of B-BBEE status level of contributor.

7 SUB-CONTRACTING

7.1 Will any portion of the contract be sub-contracted?

(Tick applicable box)

YES	<input type="checkbox"/>	NO	<input type="checkbox"/>
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7.1.1 If yes, indicate:

(i) what percentage of the contract will be subcontracted?%

(ii) the name of the sub-contractor?

(iii) the B-BBEE status level of the sub-contractor?

(iv) whether the sub-contractor is an EME or QSE?

(Tick applicable box)

YES	<input type="checkbox"/>	NO	<input type="checkbox"/>
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Sub-contracting

Bidders who want to claim preference points will have to comply fully with regulations 11(8) and 11(9) of the Preferential Procurement Regulations, 2011 with regard to sub-contracting:

Regulation 11(8)

- A person must not be awarded points for B-BBEE status level if it is indicated in the tender documents that such a tenderer intends sub-contracting more than 25% of the value of the contract to any other enterprise that does not qualify for at least the points that such a tenderer qualifies for, unless the intended sub-contractor is an Exempted Micro Enterprise that has the capability and ability to execute the sub-contract.

Regulation 11(9)

- A person awarded a contract may not sub-contract more than 25% of the value of the contract to any other enterprise that does not have an equal or higher B-BBEE status level than the person concerned, unless the contract

Proof of Existence: Joint Ventures

- Bidders must submit concrete proof of the existence of joint ventures and/or sub-contracting arrangements. SARS will accept signed agreements as acceptable proof of the existence of a joint venture and/or sub-contracting arrangement.
- The joint venture and/or sub-contracting agreements must clearly set out the roles and responsibilities of the Lead Partner and the joint venture and/or sub-contracting party. The agreement must also clearly identify the Lead Partner, who shall be given the power of attorney to bind the other party/parties in respect of matters pertaining to the joint venture and/or sub-contracting arrangement.

Wrap up

**Please submit all questions
electronically to SARS
Procurement at**

tenderoffice@sars.gov.za
